Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

**▶ Do not enter Social Security numbers on this form as it may be made public.**

**▶ Information about Form 990-PF and its separate instructions is at** *www.irs.gov/form990pf.*

OMB No. 1545-0052

**2013**

Open to Public Inspection

**For calendar year 2013 or tax year beginning , and ending**

Name of foundation
LAUCKS FOUNDATION
C/O JACOBSON JARVIS & CO., PLLC

**A Employer identification number**
95-2596850

Number and street (or P.O. box number if mail is not delivered to street address): 600 STEWART STREET
Room/suite: 1900

**B** Telephone number: 250-537-4330

City or town, state or province, country, and ZIP or foreign postal code
SEATTLE, WA 98101

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 540,952.

**J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 31,794. | | N/A | |
| | 2 Check ▶ ☐ if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 16,616. | 16,616. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| | b Gross sales price for all assets on line 6a | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less: Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 48,410. | 16,616. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 2 | 4,004. | 1,402. | | 2,602. |
| | c Other professional fees STMT 3 | 8,961. | 0. | | 8,961. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 4 | 195. | 0. | | 31. |
| | 19 Depreciation and depletion | 9,366. | 0. | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 5 | 2,275. | 0. | | 2,275. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 24,801. | 1,402. | | 13,869. |
| | 25 Contributions, gifts, grants paid | 16,000. | | | 16,000. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 40,801. | 1,402. | | 29,869. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 7,609. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 15,214. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only.

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 4,705. | 781. | 781. |
| | 2 Savings and temporary cash investments | 593. | 1,592. | 1,592. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 7 | 301,376. | 301,376. | 495,762. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ 63,729. | | | |
| | Less: accumulated depreciation STMT 6 ▶ 20,912. | 32,283. | 42,817. | 42,817. |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1) | 338,957. | 346,566. | 540,952. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 268,066. | 268,066. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 70,891. | 78,500. | |
| | 30 **Total net assets or fund balances** | 338,957. | 346,566. | |
| | 31 **Total liabilities and net assets/fund balances** | 338,957. | 346,566. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 338,957. |
| 2 | Enter amount from Part I, line 27a | 2 | 7,609. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 346,566. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 346,566. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | NONE | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 67,878. | 442,150. | .153518 |
| 2011 | 21,535. | 412,888. | .052157 |
| 2010 | 26,546. | 470,406. | .056432 |
| 2009 | 26,503. | 350,724. | .075567 |
| 2008 | 118,157. | 393,965. | .299918 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | .637592 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .127518 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 487,466. |
| 5 Multiply line 4 by line 3 | 5 | 62,161. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 152. |
| 7 Add lines 5 and 6 | 7 | 62,313. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 29,869. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 304. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0. |
| 3 | Add lines 1 and 2 | 3 | 304. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 304. |
| 6 | Credits/Payments: | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a | | |
| b | Exempt foreign organizations - tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 0. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 304. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ MARY LAUCKS Telephone no. ▶ 250-537-4330

Located at ▶ 900 BEDDIS ROAD, SALT SPRING ISLAND, BRITISH COLU ZIP+4 ▶ V8K 2E5

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

| | | Line | Yes | No |
|---|---|---|---|---|
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No

If "Yes," list the years ▶ ______ , ______ , ______ , ______

| | | Line | Yes | No |
|---|---|---|---|---|
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ ______ , ______ , ______ , ______

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

| | | Line | Yes | No |
|---|---|---|---|---|
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | Yes | No | Line | Yes | No |
|---|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | | X | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | | X | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | | X | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? | X | | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | | X | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | | | 5b | | X |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 8 | X | | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d).* | | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | X | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 6b | | X |
| *If "Yes" to 6b, file Form 8870.* | | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | | X | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | | | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| MARY LAUCKS<br>900 BEDDIS ROAD<br>SALT SPRING ISLAND, BRITISH COLUMBIA, | PRESIDENT/CEO<br>10.00 | 0. | 0. | 0. |
| BRIAN SWANSON<br>900 BEDDIS ROAD<br>SALT SPRING ISLAND, BRITISH COLUMBIA, | VICE PRESIDENT/DIRECTOR OF<br>10.00 | 0. | 0. | 0. |
| DWIGHT GEE<br>2025 23RD AVENUE EAST<br>SEATTLE, WA 98112 | SECRETARY<br>10.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ 0

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 SEE STATEMENT 9 | 10,975. |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 483,767. |
| b | Average of monthly cash balances | 1b | 11,122. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 494,889. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 494,889. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 7,423. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 487,466. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 24,373. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 24,373. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 — 2a: 304. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 304. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 24,069. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 24,069. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 24,069. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 29,869. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 29,869. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 29,869. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 24,069. |
| 2 Undistributed income, if any, as of the end of 2013: | | | | |
| a Enter amount for 2012 only | | | 0. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 98,737. | | | | |
| b From 2009 9,896. | | | | |
| c From 2010 3,484. | | | | |
| d From 2011 1,201. | | | | |
| e From 2012 46,094. | | | | |
| f **Total** of lines 3a through e | 159,412. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 29,869. | | | | |
| a Applied to 2012, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 24,069. |
| e Remaining amount distributed out of corpus | 5,800. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 165,212. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 98,737. | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 66,475. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2009 9,896. | | | | |
| b Excess from 2010 3,484. | | | | |
| c Excess from 2011 1,201. | | | | |
| d Excess from 2012 46,094. | | | | |
| e Excess from 2013 5,800. | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient: Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution ** | Amount |
|---|---|---|---|---|
| ***a** Paid during the year* | | | | |
| GREEN PLATE SPECIAL<br>4540 45TH AVE NE<br>SEATTLE, WA 98105 | NONE | 501(C)(3) | SUPPORT CLASSES AND SUPPLIES FOR COOKING AND FOOD-GROWING PROGRAM. | 4,000. |
| SEATTLE JAZZ ED<br>3405 S. ALASKAN WAY<br>SEATTLE, WA 98118 | NONE | 501(C)(3) | FUNDING OF SCHOLARSHIP PROGRAM FOR JAZZ MUSIC EDUCATION IN UNDESERVED COMMUNITIES. | 4,000. |
| SEATTLE MUSIC PARTNERS<br>4533 SUNNYSIDE AVE. N<br>SEATTLE, WA 98103 | NONE | 501(C)(3) | FUNDING TO UPGRADE AND MAINTAIN THE INSTRUMENTS CHILDREN BORROW, OR HELP WITH TRANSPORTAION TO MUSIC | 4,000. |
| VICTORIA COOL AID SOCIETY<br>102-749 PANDORA AVE<br>VICTORIA, BRITISH COLUMBIA, CANADA<br>V8W 1N9 | NONE | CANADIAN CHARITY ER GRANT | SUPPORT FOR REES PROGRAM - RESOURCES FOR EDUCATION AND EMPLOYMENT IN VICTORIA BC. | 4,000. |
| **Total** ▶ **3a** | | | | 16,000. |
| ***b** Approved for future payment* | | | | |
| NONE | | | | |
| **Total** ▶ **3b** | | | | 0. |



** SEE PURPOSE OF GRANT CONTINUATIONS

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | Related or exempt function income |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 16,616. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 16,616. | 0. |

**13 Total.** Add line 12, columns (b), (d), and (e) ........ 13 16,616.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date | Title: PRESIDENT/TREASURER

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self- employed | PTIN |
|---|---|---|---|---|
| HOWARD DONKIN, CPA | HOWARD DONKIN, CP | 03/14/14 | | P00147726 |

Firm's name ▶ JACOBSON JARVIS & CO, PLLC — Firm's EIN ▶ 91-2011386

Firm's address ▶ 600 STEWART STREET, SUITE 1900 SEATTLE, WA 98101-1219 — Phone no. (206)-628-8990

**Part XV Supplementary Information**

**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - SEATTLE MUSIC PARTNERS

FUNDING TO UPGRADE AND MAINTAIN THE INSTRUMENTS CHILDREN BORROW, OR

HELP WITH TRANSPORTAION TO MUSIC LESSONS.

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** *www.irs.gov/form990* .

OMB No. 1545-0047

**2013**

**Name of the organization**
LAUCKS FOUNDATION
C/O JACOBSON JARVIS & CO., PLLC

**Employer identification number**
95-2596850

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year .................................................. ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Name of organization | Employer identification number |
|---|---|
| LAUCKS FOUNDATION<br>C/O JACOBSON JARVIS & CO., PLLC | 95-2596850 |

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | NATIONAL SCIENCE FOUNDATION<br>4201 WILSON BOULEVARD<br>ARLINGTON, VA 22230 | $ 27,750. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |

| Name of organization | Employer identification number |
|---|---|
| LAUCKS FOUNDATION<br>C/O JACOBSON JARVIS & CO., PLLC | 95-2596850 |

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization: LAUCKS FOUNDATION
C/O JACOBSON JARVIS & CO., PLLC

Employer identification number: 95-2596850

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| CHARLES SCHWAB | 16,616. | 0. | 16,616. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 16,616. | 0. | 16,616. |

FORM 990-PF ACCOUNTING FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING | 4,004. | 1,402. | | 2,602. |
| TO FORM 990-PF, PG 1, LN 16B | 4,004. | 1,402. | | 2,602. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| REGISTERED AGENT FEE | 189. | 0. | | 189. |
| SCIENTIST | 8,772. | 0. | | 8,772. |
| TO FORM 990-PF, PG 1, LN 16C | 8,961. | 0. | | 8,961. |

FORM 990-PF TAXES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FEDERAL TAXES | 164. | 0. | | 0. |
| WSBC TAX | 31. | 0. | | 31. |
| TO FORM 990-PF, PG 1, LN 18 | 195. | 0. | | 31. |

FORM 990-PF OTHER EXPENSES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| MATERIALS & SUPPLIES | 238. | 0. | | 238. |
| FILING FEES | 80. | 0. | | 80. |
| ELECTRICITY | 1,957. | 0. | | 1,957. |
| TO FORM 990-PF, PG 1, LN 23 | 2,275. | 0. | | 2,275. |

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 6

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| RESEARCH EQUIPMENT | 8,288. | 8,288. | 0. | 0. |
| RESEARCH EQUIPMENT | 16,033. | 5,078. | 10,955. | 10,955. |
| RESEARCH EQUIPMENT | 14,350. | 3,827. | 10,523. | 10,523. |
| TOOLS/LATHE BITS | 5,158. | 1,462. | 3,696. | 3,696. |
| ICE CRYSTAL GROWTH CHAMBER | 18,929. | 2,208. | 16,721. | 16,721. |
| AIR CONDITIONEER | 971. | 49. | 922. | 922. |
| TO 990-PF, PART II, LN 14 | 63,729. | 20,912. | 42,817. | 42,817. |

FORM 990-PF CORPORATE STOCK STATEMENT 7

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| 1200 SH GE | 10,524. | 33,636. |
| 179 SH JNJ | 6,836. | 16,395. |
| 700 SH KMB | 15,617. | 73,122. |
| 323 SH CVX | 16,977. | 40,346. |
| 1050 SH GIS | 14,805. | 52,405. |
| 962 SH T | 35,319. | 33,824. |
| 965 SH LQD | 102,262. | 110,191. |
| 357 SH IVW | 18,477. | 35,254. |
| 1224 SH PFF | 43,573. | 45,080. |
| 453 SH VEU | 18,499. | 22,981. |
| 395 SH OEF | 18,487. | 32,528. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 301,376. | 495,762. |

FORM 990-PF EXPENDITURE RESPONSIBILITY STATEMENT STATEMENT 8
PART VII-B, LINE 5C

GRANTEE'S NAME

VICTORIA COOL AID SOCIETY

GRANTEE'S ADDRESS

102-749 PANDORA AVENUE
VICTORIA, BRITISH COLUMBIA, CANADA, V8W 1N9

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED | VERIFICATION DATE |
|---|---|---|---|
| 4,000. | 12/02/13 | | 11/21/13 |

PURPOSE OF GRANT

SUPPORT FOR REES PROGRAM - RESOURCES FOR EDUCATION AND EMPLOYMENT IN VICTORIA BC.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 9

ACTIVITY ONE

SCIENTIFIC RESEARCH IN THE PUBLIC INTEREST ON ATMOSPHERIC PROCESSES AND PROVIDING PHYSICAL INFORMATION FOR GENERAL CLIMATE MODELS, THUS CONTRIBUTING TO RESEARCH ON CLIMATE CHANGE.

| | EXPENSES |
|---|---|
| TO FORM 990-PF, PART IX-A, LINE 1 | 10,975. |

## Depreciation and Amortization Detail FORM 990-PF PAGE 1 990-PF

| Asset Number | Description of property / Date placed in service | Method/ IRC sec. | Life or rate | Line No. | Cost or other basis | Basis reduction | Accumulated depreciation/amortization | Current year deduction |
|---|---|---|---|---|---|---|---|---|
| 1 | RESEARCH EQUIPMENT | | | | | | | |
| | 070107 | SL | 5.00 | 16 | 8,288. | | 8,288. | 0. |
| 2 | RESEARCH EQUIPMENT | | | | | | | |
| | 061512 | SL | 5.00 | 16 | 16,033. | | 1,871. | 3,207. |
| 3 | RESEARCH EQUIPMENT | | | | | | | |
| | 081612 | SL | 5.00 | 16 | 14,350. | | 957. | 2,870. |
| 4 | TOOLS/LATHE BITS | | | | | | | |
| | 071612 | SL | 5.00 | 16 | 5,158. | | 430. | 1,032. |
| 5 | ICE CRYSTAL GROWTH CHAMBER | | | | | | | |
| | 052413 | SL | 5.00 | 16 | 18,929. | | | 2,208. |
| 6 | AIR CONDITIONEER | | | | | | | |
| | 063013 | SL | 10.00 | 16 | 971. | | | 49. |
| | * TOTAL 990-PF PG 1 DEPR | | | | | | | |
| | | | | | 63,729. | 0. | 11,546. | 9,366. |

316261
05-01-13

# - Current year section 179 (D) - Asset disposed

328941 11-14-13

TAXABLE YEAR **2013**

# California Exempt Organization Annual Information Return

FORM **199**

Calendar Year 2013 or fiscal year beginning (mm/dd/yyyy) , and ending (mm/dd/yyyy) .

| Corporation/Organization Name | California corporation number |
|---|---|
| LAUCKS FOUNDATION<br>C/O JACOBSON JARVIS & CO., PLLC | C0568010 |
| Address (suite, room, or PMB no.)<br>600 STEWART STREET, NO. 1900 | FEIN<br>95-2596850 |
| City SEATTLE / State WA / ZIP Code 98101 | |

A First Return .......... ☐ Yes ☒ No

B Amended Information Return .......... ● ☐ Yes ☒ No

C IRC Section 4947(a)(1) trust .......... ☐ Yes ☒ No

D Final Information Return?
● ☐ Dissolved ● ☐ Surrendered (Withdrawn)
● ☐ Merged/Reorganized Enter date: (mm/dd/yyyy) ●

E Check accounting method:
(1) ☒ Cash (2) ☐ Accrual (3) ☐ Other

F Federal return filed?
(1) ● ☐ 990T (2) ● ☒ 990 PF (3) ● ☐ Sch H ( 990)

G Is this a group filing for the subordinates/affiliates? ... ● ☐ Yes ☒ No
If "Yes," attach a roster. See instructions

H Is this organization in a group exemption? .......... ☐ Yes ☒ No
If "Yes," what is the parent's name?

I Did the organization have any changes in its activities, governing instrument, articles of incorporation, or bylaws that have not been reported to the Franchise Tax Board? .......... ● ☐ Yes ☒ No
If "Yes," explain, and attach copies of revised documents.

J If exempt under R&TC Section 23701d, has the organization during the year: (1) participated in any political campaign, or (2) attempted to influence legislation or any ballot measure, or (3) made an election under R&TC Section 23704.5 (relating to lobbying by public charities)? .......... ● ☐ Yes ☒ No
If "Yes," complete and attach form FTB 3509.

K Is the organization exempt under R&TC Section 23701g? ● ☐ Yes ☒ No
If "Yes," enter the gross receipts from nonmember sources .......... $

L If organization is exempt under R&TC Section 23701d and is exclusively religious, educational, or charitable, and is supported primarily (50% or more) by public contributions, check box. No filing fee is required. .......... ● ☐

M Is the organization a Limited Liability Company? .......... ● ☐ Yes ☒ No

N Did the organization file Form 100 or Form 109 to report taxable income? .......... ● ☐ Yes ☒ No

O Is the organization under audit by the IRS or has the IRS audited in a prior year? .......... ● ☐ Yes ☒ No

**Part I** **Complete Part I unless not required to file this form. See General Instructions B and C.**

| | | | | | | |
|---|---|---|---|---|---|---|
| Receipts and Revenues | 1 | Gross sales or receipts from other sources. From Side 2, Part II, line 8 ● | | | 1 | 16,616. 00 |
| | 2 | Gross dues and assessments from members and affiliates ● | | | 2 | 00 |
| | 3 | Gross contributions, gifts, grants, and similar amounts received STMT 1 ● | | | 3 | 31,794. 00 |
| | 4 | Total gross receipts for filing requirement test. Add line 1 through line 3. **This line must be completed.** If the result is less than $50,000, see General Instruction B ● | | | 4 | 48,410. 00 |
| | 5 | Cost of goods sold ● | 5 | 00 | | |
| | 6 | Cost or other basis, and sales expenses of assets sold ● | 6 | 00 | | |
| | 7 | Total costs. Add line 5 and line 6 | | | 7 | 00 |
| | 8 | Total gross income. Subtract line 7 from line 4 ● | | | 8 | 48,410. 00 |
| Expenses | 9 | Total expenses and disbursements. From Side 2, Part II, line 18 ● | | | 9 | 40,801. 00 |
| | 10 | Excess of receipts over expenses and disbursements. Subtract line 9 from line 8 ● | | | 10 | 7,609. 00 |
| Filing Fee | 11 | Filing fee $10 or $25. See General Instruction F | | | 11 | 10. 00 |
| | 12 | Total payments | | | 12 | 00 |
| | 13 | Penalties and Interest. See General Instruction J | | | 13 | 00 |
| | 14 | Use tax. See General Instruction K ● | | | 14 | 00 |
| | 15 | **Balance due.** Add line 11, line 13, and line 14. Then subtract line 12 from the result ◉ | | | 15 | 10. 00 |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer ▶ | Title PRESIDENT/TREA | Date | ● Telephone 250-537-4330

**Paid Preparer's Use Only**

Preparer's signature ▶ HOWARD DONKIN, CPA | Date 03/14/14 | Check if self-employed ▶ ☐ | ● PTIN P00147726

Firm's name (or yours, if self-employed) and address ▶ JACOBSON JARVIS & CO, PLLC
600 STEWART STREET, SUITE 1900
SEATTLE, WA 98101-1219 | ● FEIN 91-2011386 | ● Telephone (206)-628-8990

May the FTB discuss this return with the preparer shown above? See instructions .......... ● ☐ Yes ☐ No

LAUCKS FOUNDATION
C/O JACOBSON JARVIS & CO., PLLC

95-2596850

**Part II** Organizations with gross receipts of more than $50,000 and private foundations regardless of amount of gross receipts - complete Part II or furnish substitute information.

328951 11-14-13

| | | | |
|---|---|---|---|
| **Receipts from Other Sources** | 1 Gross sales or receipts from all business activities. See instructions | 1 | 00 |
| | 2 Interest | 2 | 00 |
| | 3 Dividends | 3 | 16,616. 00 |
| | 4 Gross rents | 4 | 00 |
| | 5 Gross royalties | 5 | 00 |
| | 6 Gross amount received from sale of assets (See Instructions) | 6 | 00 |
| | 7 Other income | 7 | 00 |
| | 8 **Total** gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1 | 8 | 16,616. 00 |
| **Expenses and Disbursements** | 9 Contributions, gifts, grants, and similar amounts paid STATEMENT 2 | 9 | 16,000. 00 |
| | 10 Disbursements to or for members | 10 | 00 |
| | 11 Compensation of officers, directors, and trustees SEE STATEMENT 3 | 11 | 0. 00 |
| | 12 Other salaries and wages | 12 | 00 |
| | 13 Interest | 13 | 00 |
| | 14 Taxes | 14 | 195. 00 |
| | 15 Rents | 15 | 00 |
| | 16 Depreciation and depletion (See instructions) | 16 | 9,366. 00 |
| | 17 Other Expenses and Disbursements SEE STATEMENT 4 | 17 | 15,240. 00 |
| | 18 **Total** expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9 | 18 | 40,801. 00 |

## Schedule L Balance Sheets

| | Beginning of taxable year (a) | (b) | End of taxable year (c) | (d) |
|---|---|---|---|---|
| **Assets** | | | | |
| 1 Cash | | 5,298. | | 2,373. |
| 2 Net accounts receivable | | | | |
| 3 Net notes receivable | | | | |
| 4 Inventories | | | | |
| 5 Federal and state government obligations | | | | |
| 6 Investments in other bonds | | | | |
| 7 Investments in stock STMT 5 | | 301,376. | | 301,376. |
| 8 Mortgage loans | | | | |
| 9 Other investments | | | | |
| 10 a Depreciable assets | 43,829. | | 63,729. | |
| b Less accumulated depreciation | ( 11,546. ) | 32,283. | ( 20,912. ) | 42,817. |
| 11 Land | | | | |
| 12 Other assets | | | | |
| 13 Total assets | | 338,957. | | 346,566. |
| **Liabilities and net worth** | | | | |
| 14 Accounts payable | | | | |
| 15 Contributions, gifts, or grants payable | | | | |
| 16 Bonds and notes payable | | | | |
| 17 Mortgages payable | | | | |
| 18 Other liabilities | | | | |
| 19 Capital stock or principle fund | | 268,066. | | 268,066. |
| 20 Paid-in or capital surplus. Attach reconciliation | | | | |
| 21 Retained earnings or income fund | | 70,891. | | 78,500. |
| 22 Total liabilities and net worth | | 338,957. | | 346,566. |

## Schedule M-1 Reconciliation of income per books with income per return

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than $50,000.

| | | | |
|---|---|---|---|
| 1 Net income per books | 7,609. | 7 Income recorded on books this year not included in this return. | |
| 2 Federal income tax | | 8 Deductions in this return not charged against book income this year | |
| 3 Excess of capital losses over capital gains | | 9 Total. Add line 7 and line 8 | |
| 4 Income not recorded on books this year | | 10 Net income per return. Subtract line 9 from line 6 | 7,609. |
| 5 Expenses recorded on books this year not deducted in this return | | | |
| 6 Total. Add line 1 through line 5 | 7,609. | | |

FORM 199 CASH CONTRIBUTIONS OF $5000 OR MORE INCLUDED ON PART I, LINE 3 STATEMENT 1

| CONTRIBUTOR'S NAME | CONTRIBUTOR'S ADDRESS | DATE OF GIFT | AMOUNT |
|---|---|---|---|
| NATIONAL SCIENCE FOUNDATION | 4201 WILSON BOULEVARD ARLINGTON, VA 22230 | 05/23/13 | 27,750. |
| TOTAL INCLUDED ON LINE 3 | | | 27,750. |

FORM 199 CASH CONTRIBUTIONS, GIFTS, GRANTS AND SIMILAR AMOUNTS PAID STATEMENT 2

ACTIVITY CLASSIFICATION: CHARITABLE GRANTS

| DONEES NAME AND ADDRESS | RELATIONSHIP | AMOUNT |
|---|---|---|
| GREEN PLATE SPECIAL<br>4540 45TH AVE NE, SEATTLE, WA 98105<br><br>ORGANIZATIONAL STATUS: 501(C)(3) | NONE | 4,000. |

| DONEES NAME AND ADDRESS | RELATIONSHIP | AMOUNT |
|---|---|---|
| SEATTLE MUSIC PARTNERS<br>4533 SUNNYSIDE AVE. N, SEATTLE, WA 98103<br><br>ORGANIZATIONAL STATUS: 501(C)(3) | NONE | 4,000. |

| DONEES NAME AND ADDRESS | RELATIONSHIP | AMOUNT |
|---|---|---|
| VICTORIA COOL AID SOCIETY<br>102-749 PANDORA AVE, VICTORIA, BRITISH COLUMBIA, CANADA V8W 1N9<br><br>ORGANIZATIONAL STATUS: CANADIAN CHARITY ER GRANT | NONE | 4,000. |

| DONEES NAME AND ADDRESS | RELATIONSHIP | AMOUNT |
|---|---|---|
| SEATTLE JAZZ ED<br>3405 S. ALASKAN WAY, SEATTLE, WA 98118<br><br>ORGANIZATIONAL STATUS: 501(C)(3) | NONE | 4,000. |

TOTAL FOR THIS ACTIVITY 16,000.

TOTAL INCLUDED ON FORM 199, PART II, LINE 9 16,000.

## FORM 199 COMPENSATION OF OFFICERS, DIRECTORS AND TRUSTEES STATEMENT 3

| NAME AND ADDRESS | TITLE AND AVERAGE HRS WORKED/WK | COMPENSATION |
|---|---|---|
| MARY LAUCKS<br>900 BEDDIS ROAD<br>SALT SPRING ISLAND, BRITISH COLUMBIA, | PRESIDENT/CEO<br>10.00 | 0. |
| BRIAN SWANSON<br>900 BEDDIS ROAD<br>SALT SPRING ISLAND, BRITISH COLUMBIA, | VICE PRESIDENT/DIRECTOR OF<br>10.00 | 0. |
| DWIGHT GEE<br>2025 23RD AVENUE EAST<br>SEATTLE, WA 98112 | SECRETARY<br>10.00 | 0. |
| TOTAL TO FORM 199, PART II, LINE 11 | | 0. |

## FORM 199 OTHER EXPENSES STATEMENT 4

| DESCRIPTION | AMOUNT |
|---|---|
| ACCOUNTING FEES | 4,004. |
| OTHER PROFESSIONAL FEES | 8,961. |
| MATERIALS & SUPPLIES | 238. |
| FILING FEES | 80. |
| ELECTRICITY | 1,957. |
| TOTAL TO FORM 199, PART II, LINE 17 | 15,240. |

## FORM 199 INVESTMENTS IN STOCK STATEMENT 5

| DESCRIPTION | BEG. OF YEAR | END OF YEAR |
|---|---|---|
| 1200 SH GE | 10,524. | 10,524. |
| 179 SH JNJ | 6,836. | 6,836. |
| 700 SH KMB | 15,617. | 15,617. |
| 323 SH CVX | 16,977. | 16,977. |
| 1050 SH GIS | 14,805. | 14,805. |
| 962 SH T | 35,319. | 35,319. |
| 965 SH LQD | 102,262. | 102,262. |
| 357 SH IVW | 18,477. | 18,477. |
| 1224 SH PFF | 43,573. | 43,573. |
| 453 SH VEU | 18,499. | 18,499. |

| | | |
|---|---|---|
| 395 SH OEF | 18,487. | 18,487. |
| TOTAL TO FORM 199, SCHEDULE L, LINE 7 | 301,376. | 301,376. |

TAXABLE YEAR **2013**

# Corporation Depreciation and Amortization

CALIFORNIA FORM **3885**

Attach to Form 100 or Form 100W. FORM 199 FEIN 95-2596850

Corporation name: LAUCKS FOUNDATION
C/O JACOBSON JARVIS & CO., PLLC

California corporation number: C0568010

**Part I Election To Expense Certain Property Under IRC Section 179**

| | | |
|---|---|---|
| 1 Maximum deduction under IRC Section 179 for California | 1 | $25,000 |
| 2 Total cost of IRC Section 179 property placed in service | 2 | |
| 3 Threshold cost of IRC Section 179 property before reduction in limitation | 3 | $200,000 |
| 4 Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0- | 4 | |
| 5 Dollar limitation for taxable year. Subtract line 4 from line 1. If zero or less, enter -0- | 5 | |

| (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|
| 6 | | |
| | | |

| | | |
|---|---|---|
| 7 Listed property (elected IRC Section 179 cost) | 7 | |
| 8 Total elected cost of IRC Section 179 property. Add amounts in column (c), line 6 and line 7 | 8 | |
| 9 Tentative deduction. Enter the **smaller** of line 5 or line 8 | 9 | |
| 10 Carryover of disallowed deduction from prior taxable years | 10 | |
| 11 Business income limitation. Enter the smaller of business income (not less than zero) or line 5 | 11 | |
| 12 IRC Section 179 expense deduction. Add line 9 and line 10, but do not enter more than line 11 | 12 | |
| 13 Carryover of disallowed deduction to 2014. Add line 9 and line 10, less line 12 | 13 | |

**Part II Depreciation and Election of Additional First Year Expense Deduction Under R&TC Section 24356**

| (a) Description property | (b) Date acquired (mm/dd/yyyy) | (c) Cost or other basis | (d) Depreciation allowed or allowable in earlier years | (e) Depreciation Method | (f) Life or rate | (g) Depreciation for this year | (h) Additional first year depreciation |
|---|---|---|---|---|---|---|---|
| 14 | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| SEE STATEMENT 6 | | 63,729. | 11,546. | | | | |

| | | | |
|---|---|---|---|
| 15 Add the amounts in column (g) and column (h). The total of column (h) may not exceed $2,000. See instructions for line 14, column (h) | 15 | 9,366. | |

**Part III Summary**

| | | |
|---|---|---|
| 16 Total: If the corporation is electing: IRC Section 179 expense, add the amount on line 12 and line 15, column (g); **or** Additional first year depreciation under R&TC Section 24356, add the amounts on line 15, columns (g) and (h), **or** Depreciation (if no election is made), enter the amount from line 15, column (g) | 16 | 9,366. |
| 17 Total depreciation claimed for federal purposes from federal Form 4562, line 22 | 17 | 9,366. |
| 18 Depreciation adjustment. If line 17 is greater than line 16, enter the difference here and on Form 100 or Form 100W, Side 1, line 6. If line 17 is less than line 16, enter the difference here and on Form 100 or Form 100W, Side 1, line 12. (If California depreciation amounts are used to determine net income before state adjustments on Form 100 or Form 100W, no adjustment is necessary.) | 18 | 0. |

**Part IV Amortization**

| (a) Description of property | (b) Date acquired (mm/dd/yyyy) | (c) Cost or other basis | (d) Amortization allowed or allowable in earlier years | (e) R&TC section (see instructions) | (f) Period or percentage | (g) Amortization for this year |
|---|---|---|---|---|---|---|
| 19 | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| | | |
|---|---|---|
| 20 Total. Add the amounts in column (g) | 20 | |
| 21 Total amortization claimed for federal purposes from federal Form 4562, line 44 | 21 | |
| 22 Amortization adjustment. If line 21 is greater than line 20, enter the difference here and on Form 100 or Form 100W, Side 1, line 6. If line 21 is less than line 20, enter the difference here and on Form 100 or Form 100W, Side 1, line 12 | 22 | |

CA 3885 DEPRECIATION STATEMENT 6

| ASSET NO./ DESCRIPTION | DATE IN SERVICE | COST OR BASIS | PRIOR DEPR | METHOD | LIFE | DEPRE- CIATION | BONUS |
|---|---|---|---|---|---|---|---|
| 1 RESEARCH EQUIPMENT | 07/01/07 | 8,288. | 8,288. | SL | 5.00 | 0. | |
| 2 RESEARCH EQUIPMENT | 06/15/12 | 16,033. | 1,871. | SL | 5.00 | 3,207. | |
| 3 RESEARCH EQUIPMENT | 08/16/12 | 14,350. | 957. | SL | 5.00 | 2,870. | |
| 4 TOOLS/LATHE BITS | 07/16/12 | 5,158. | 430. | SL | 5.00 | 1,032. | |
| 5 ICE CRYSTAL GROWTH CHAMBER | 05/24/13 | 18,929. | | SL | 5.00 | 2,208. | |
| 6 AIR CONDITIONEER | 06/30/13 | 971. | | SL | 10.00 | 49. | |
| TOTAL DEPR TO FORM 3885 | | 63,729. | 11,546. | | | 9,366. | |

MAIL TO:
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470
Telephone: (916) 445-2021

WEB SITE ADDRESS:

http://ag.ca.gov/charities/

# ANNUAL REGISTRATION RENEWAL FEE REPORT TO ATTORNEY GENERAL OF CALIFORNIA

Sections 12586 and 12587, California Government Code
11 Cal. Code Regs. sections 301-307, 311 and 312

**Failure to submit this report annually no later than four months and fifteen days after the end of the organization's accounting period may result in the loss of tax exemption and the assessment of a minimum tax of $800, plus interest, and/or fines or filing penalties as defined in Government Code section 12586.1. IRS extensions will be honored.**

State Charity Registration Number: **CT** 012136

LAUCKS FOUNDATION
C/O JACOBSON JARVIS & CO., PLLC
Name of Organization

600 STEWART STREET, NO. 1900
Address (Number and Street)

SEATTLE, WA 98101
City or Town, State and ZIP Code

**Check if:**

☐ Change of address

☐ Amended report

Corporate or Organization No. C0568010

Federal Employer I.D. No. 95-2596850

**ANNUAL REGISTRATION RENEWAL FEE SCHEDULE (11 Cal. Code Regs. sections 301-307, 311 and 312)**
**Make Check Payable to Attorney General's Registry of Charitable Trusts**

| Gross Annual Revenue | Fee | Gross Annual Revenue | Fee | Gross Annual Revenue | Fee |
|---|---|---|---|---|---|
| Less than $25,000 | 0 | Between $100,001 and $250,000 | $50 | Between $1,000,001 and $10 million | $150 |
| Between $25,000 and $100,000 | $25 | Between $250,001 and $1 million | $75 | Between $10,000,001 and $50 million | $225 |
| | | | | Greater than $50 million | $300 |

**PART A - ACTIVITIES**

For your most recent full accounting period (beginning 01/01/2013 ending 12/31/2013 ) list:
Gross annual revenue $ 48,410. Total assets $ 540,952.

**PART B - STATEMENTS REGARDING ORGANIZATION DURING THE PERIOD OF THIS REPORT**

**Note: If you answer "yes" to any of the questions below, you must attach a separate sheet providing an explanation and details for each "yes" response. Please review RRF-1 instructions for information required.**

| | | Yes | No |
|---|---|---|---|
| 1. | During this reporting period, were there any contracts, loans, leases or other financial transactions between the organization and any officer, director or trustee thereof either directly or with an entity in which any such officer, director or trustee had any financial interest? | | X |
| 2. | During this reporting period, was there any theft, embezzlement, diversion or misuse of the organization's charitable property or funds? | | X |
| 3. | During this reporting period, did non-program expenditures exceed 50% of gross revenues? | | X |
| 4. | During this reporting period, were any organization funds used to pay any penalty, fine or judgment? If you filed a Form 4720 with the Internal Revenue Service, attach a copy. | | X |
| 5. | During this reporting period, were the services of a commercial fundraiser or fundraising counsel for charitable purposes used? If "yes," provide an attachment listing the name, address, and telephone number of the service provider. | | X |
| 6. | During this reporting period, did the organization receive any governmental funding? If so, provide an attachment listing the name of the agency, mailing address, contact person, and telephone number. SEE STATEMENT 7 | X | |
| 7. | During this reporting period, did the organization hold a raffle for charitable purposes? If "yes," provide an attachment indicating the number of raffles and the date(s) they occurred. | | X |
| 8. | Does the organization conduct a vehicle donation program? If "yes," provide an attachment indicating whether the program is operated by the charity or whether the organization contracts with a commercial fundraiser for charitable purposes. | | X |
| 9. | Did your organization have prepared an audited financial statement in accordance with generally accepted accounting principles for this reporting period? | | X |

Organization's area code and telephone number 250-537-4330

Organization's e-mail address

**I declare under penalty of perjury that I have examined this report, including accompanying documents, and to the best of my knowledge and belief, it is true, correct and complete.**

| Signature of authorized officer | Printed Name | Title | Date |
|---|---|---|---|
| | MARY LAUCKS | PRESIDENT/TREASURER | |

FORM RRF-1 INFORMATION REGARDING GOVERNMENT FUNDING STATEMENT 7
PART B, LINE 6

NATIONAL SCIENCE FOUNDATION, 4201 WILSON BLVD, ARLINGTON, VA 22230.
CONTACT PERSON IS MARIA VALERIO AT 703-292-8033.